

Preparation of ultra-smooth platinum films

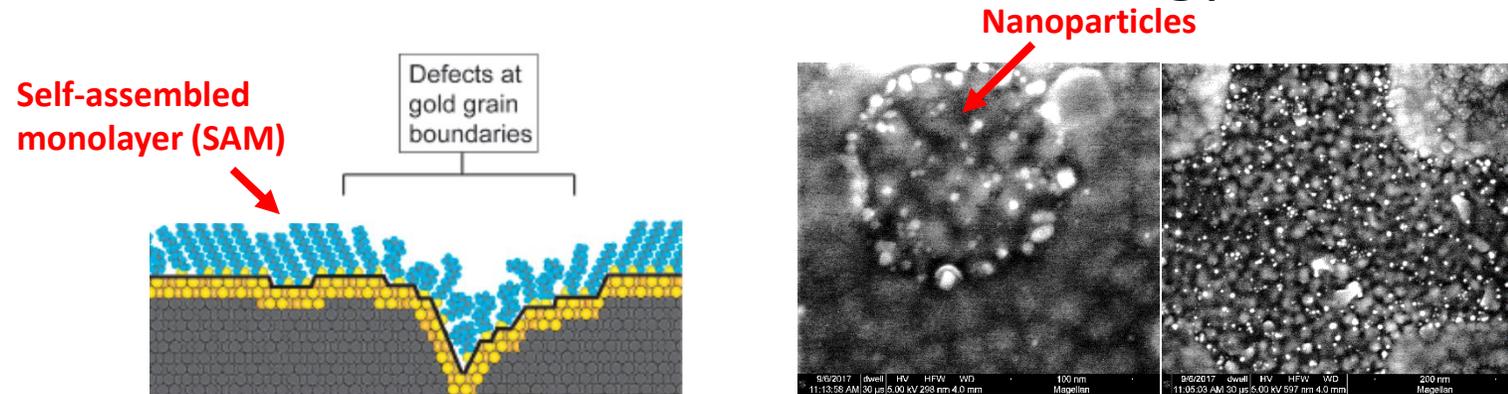
Charmaine Chia

SNF staff: Michelle Rincon | Mentors: Karl Littau, Don Gardner

Motivation

Why ultra-smooth films?

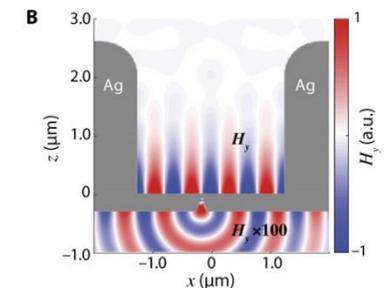
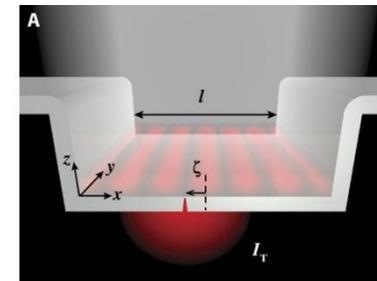
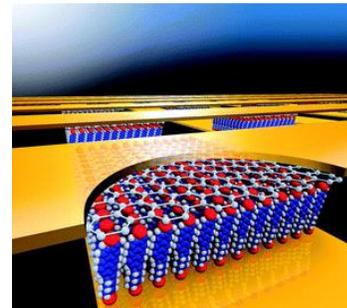
- Grain boundaries → defects and surface energy variation → non-uniformity



- Improved device **performance, yield and uniformity**

- Applications in:

- Molecular electronics
- Plasmonics
- MEMS

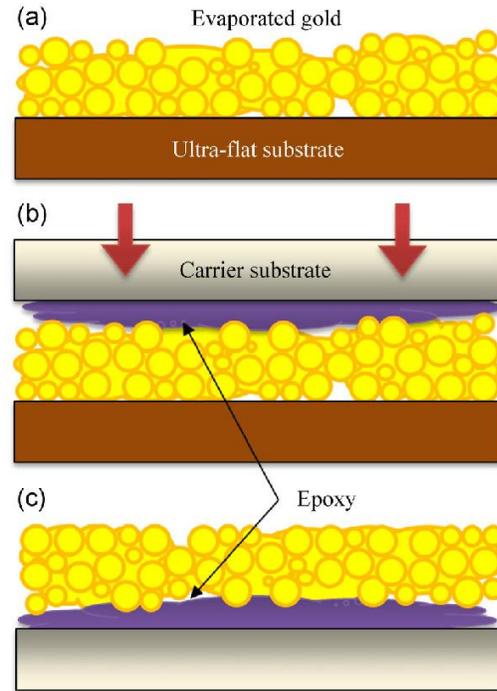


Approaches to obtaining ultra-smooth metal

Polishing

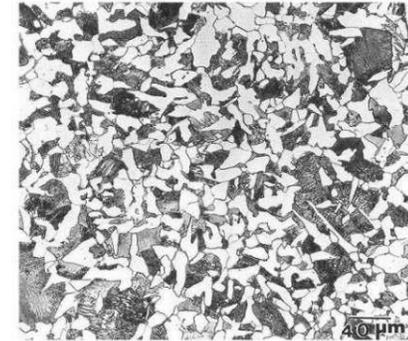


Utilizing a smooth interface: *Template stripping*



Controlling morphology:

- Very small grains
- Very **large** grains



- Metallic glass (amorphous)



Outline

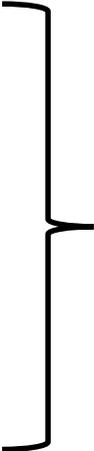
3 different methods:

1) Chemical Mechanical Polishing

2) Patterned Template Stripping

3) Sputter deposition + Annealing

- Characterization of films
- Summary of processes developed

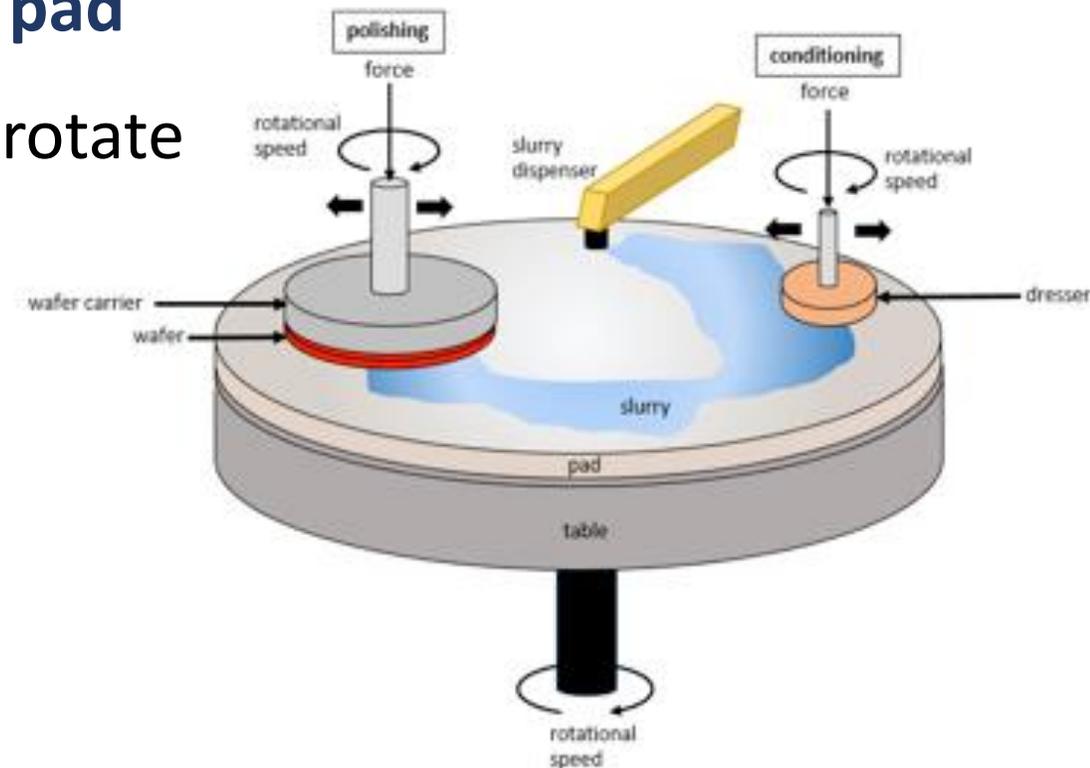
- 
- How it works
 - Process flow
 - Results
 - Observations and issues

1) Chemical mechanical polishing

1) Chemical mechanical polishing (CMP)

How it works:

- Chemical etching + abrasive polishing
- Wafer is pressed facedown onto **polishing pad**
- Slurry is added as **polishing head** and pad rotate
- **Slurry** typically comprises:
 - Colloidal abrasive
 - Corrosive chemical

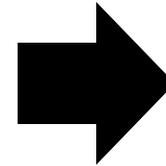


1) Chemical mechanical polishing (CMP)



At the SNF

- The Tool: POLI-400L
- Silica slurries available:
 - Ultra-sol S10 → for Si
 - Ultra-sol 2EX → for SiO₂



Compare several different slurry formulations:

- Undiluted slurry (30% solids)
- Diluted slurry (10% solids)
- Diluted slurry with ***peroxide*** added
- Diluted slurry with ***periodic acid*** added

Challenges in polishing platinum:

- Pt is very unreactive → difficult to remove
- No commercially available slurry

Results for Ultra-etch S10

30% solids

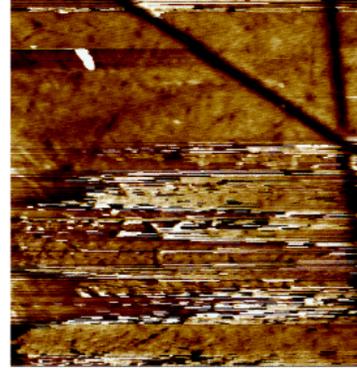
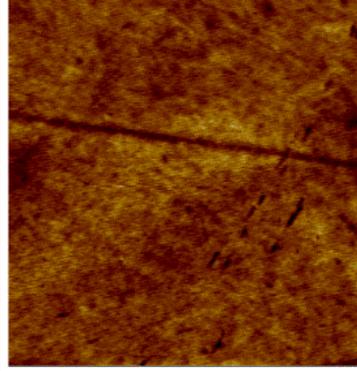
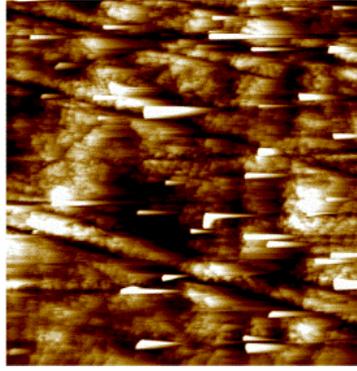
10% solids

10% solids + 10 mM
periodic acid

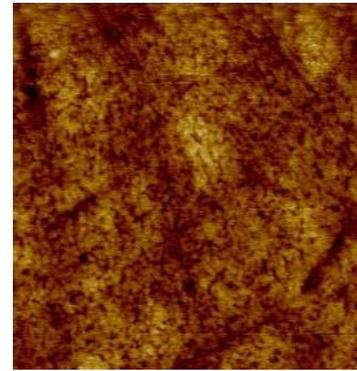
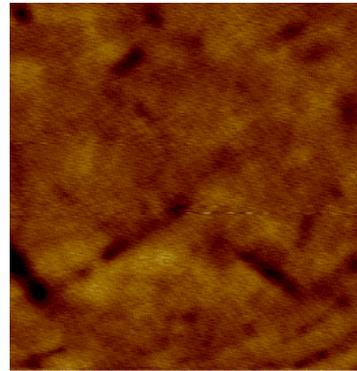
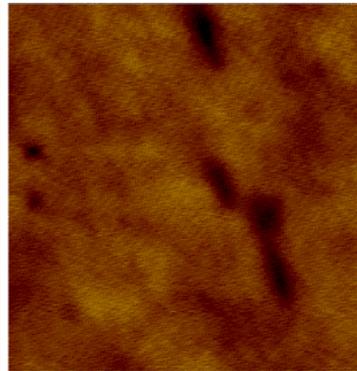
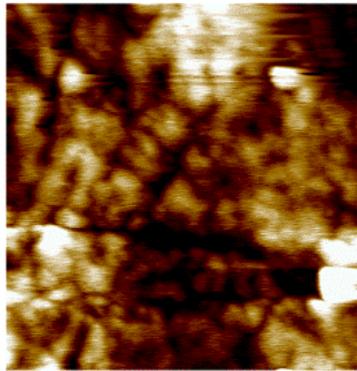
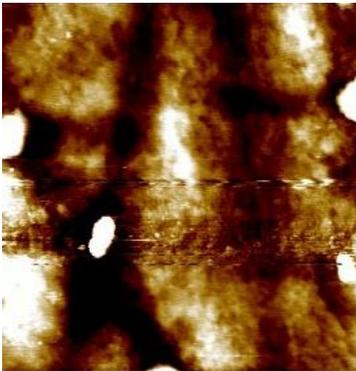
10% solids + 100
mM periodic acid

5% solids + 10%
H₂O₂

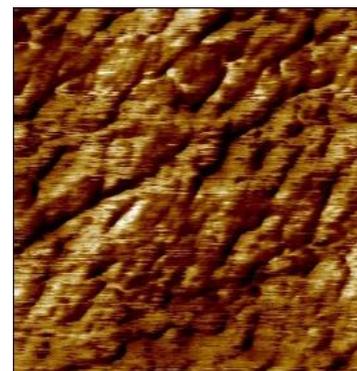
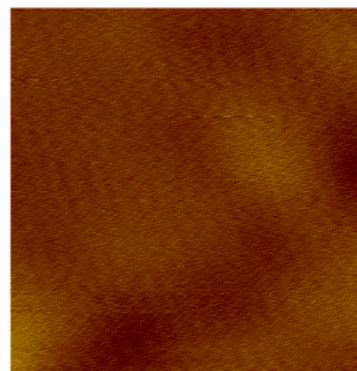
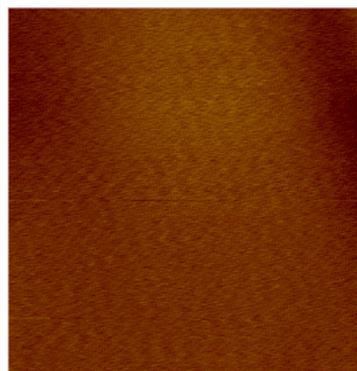
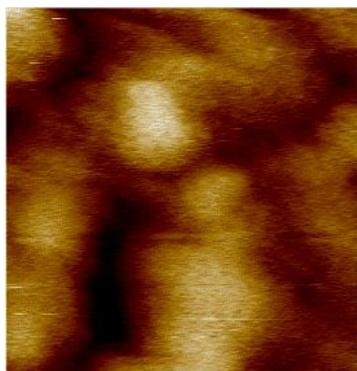
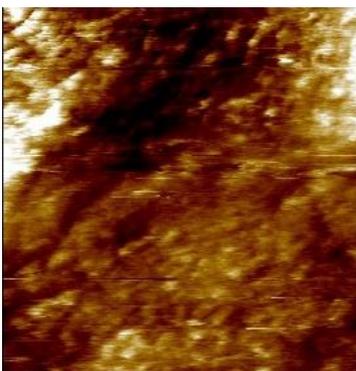
5 μm



1 μm



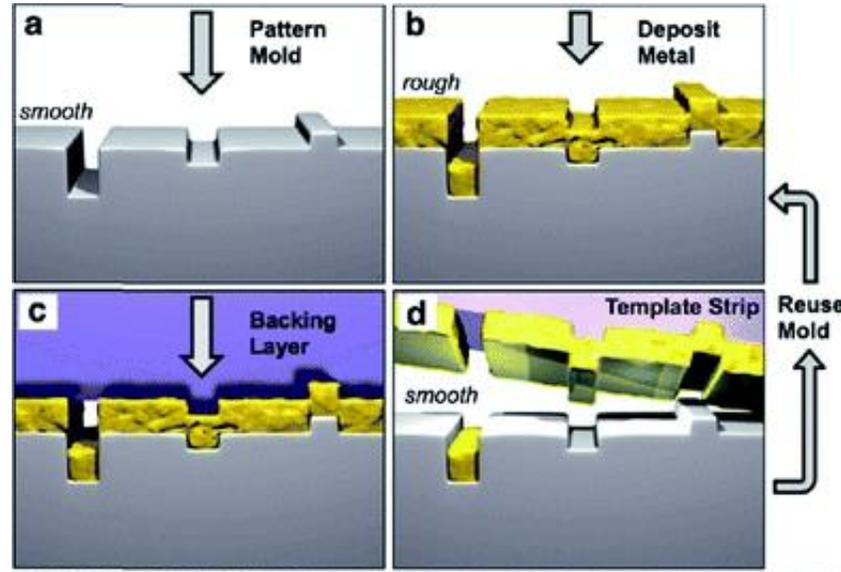
0.2 μm



- Polish time: 2 min
Pressure: 150 g/cm²
- RMS roughness down to 0.3 nm
 - Some scratches
 - Periodic acid seems to help

2) Patterned template stripping

2) Patterned template stripping



• Specifications:

- Thermal stability (up to 300 °C)
- Solvent resistance
- Ease of patterning sparse devices
- Scalability

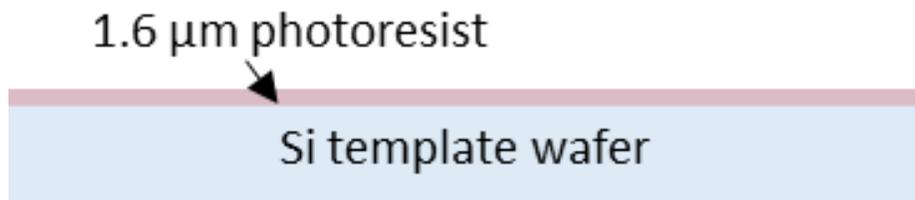


This rules out typical epoxies, which have lower T_g

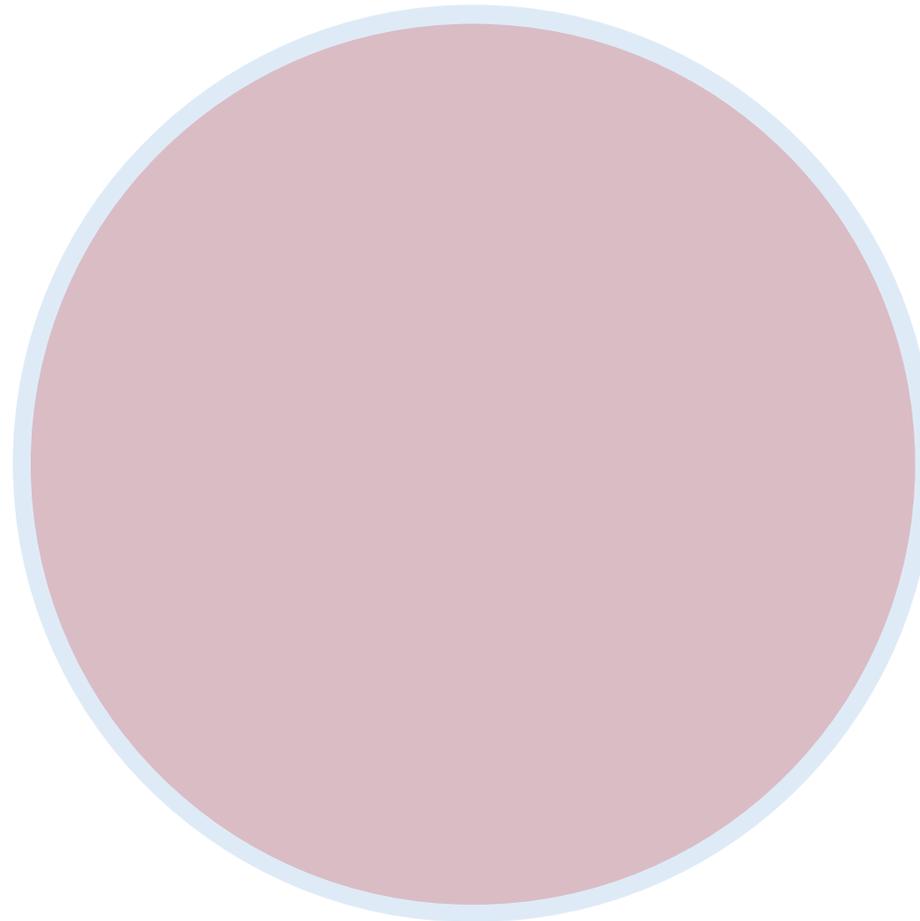
- Tried different silica-based adhesives
 - Liquid glass → too thick, too messy
 - Tacky, UV-curable adhesive → difficult to get continuous film
 - Spin-on glass (SOG) → Proof-of-concept!
- **Tools: Heidelberg, svgcoat/dev, PT-DSE, AJA evap, Headway**

2) Patterned template stripping: Method

1. Spin 1.6 μm of photoresist on Si wafer



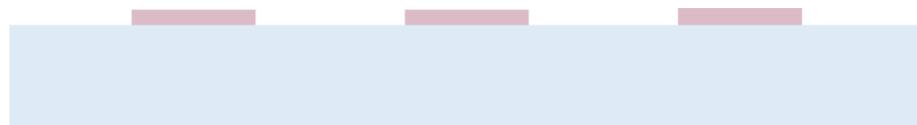
CROSS-SECTIONAL VIEW



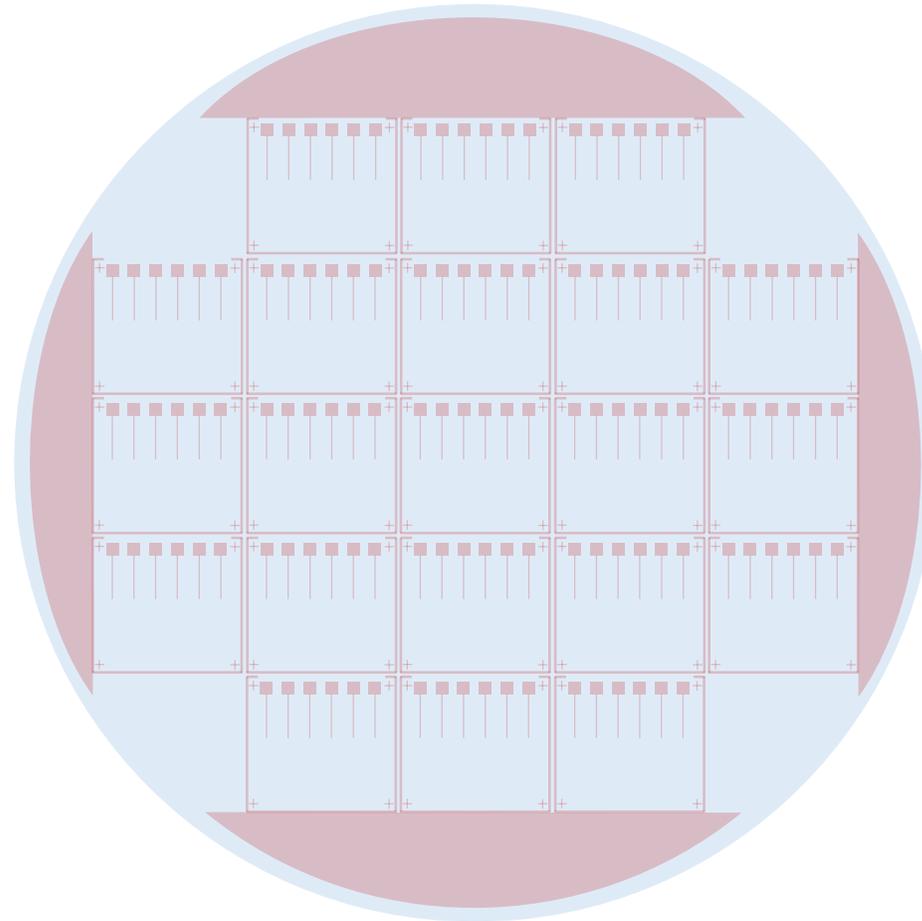
TOP VIEW

2) Patterned template stripping: Method

2. Expose and develop resist



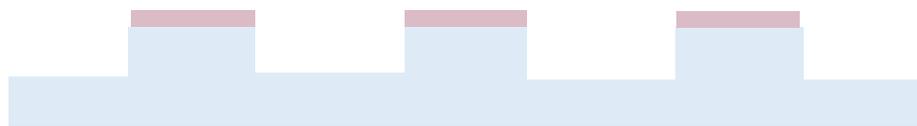
CROSS-SECTIONAL VIEW



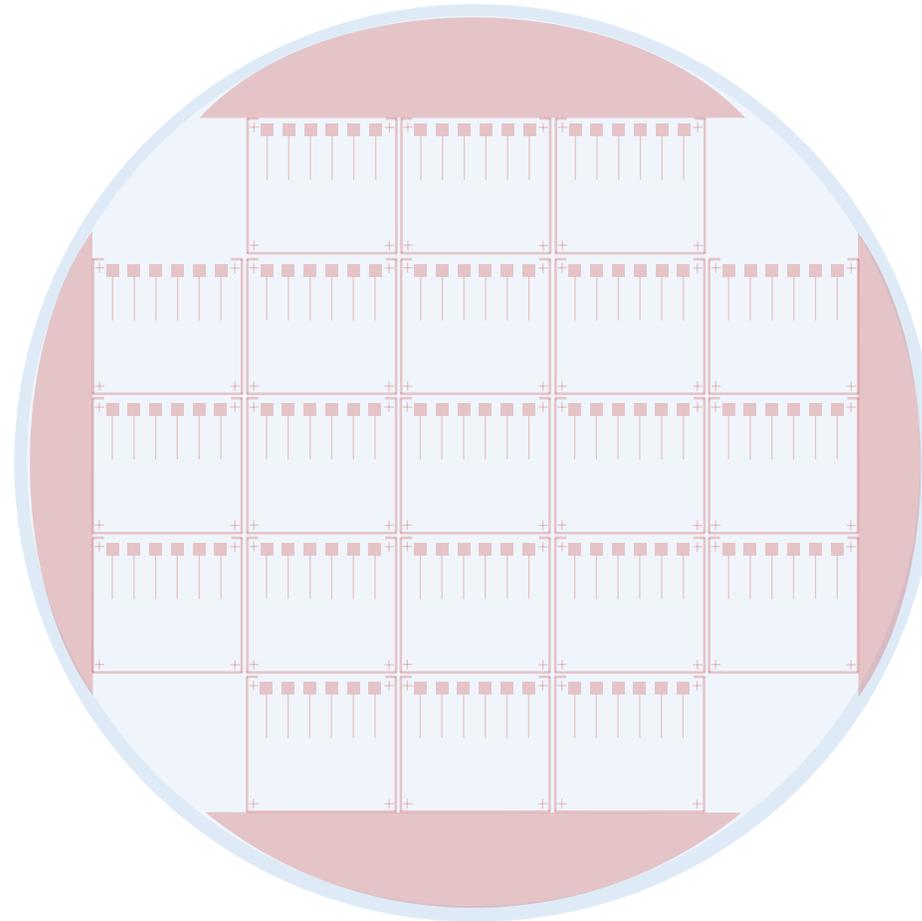
TOP VIEW

2) Patterned template stripping: Method

3. DRIE etch of silicon in exposed areas



CROSS-SECTIONAL VIEW



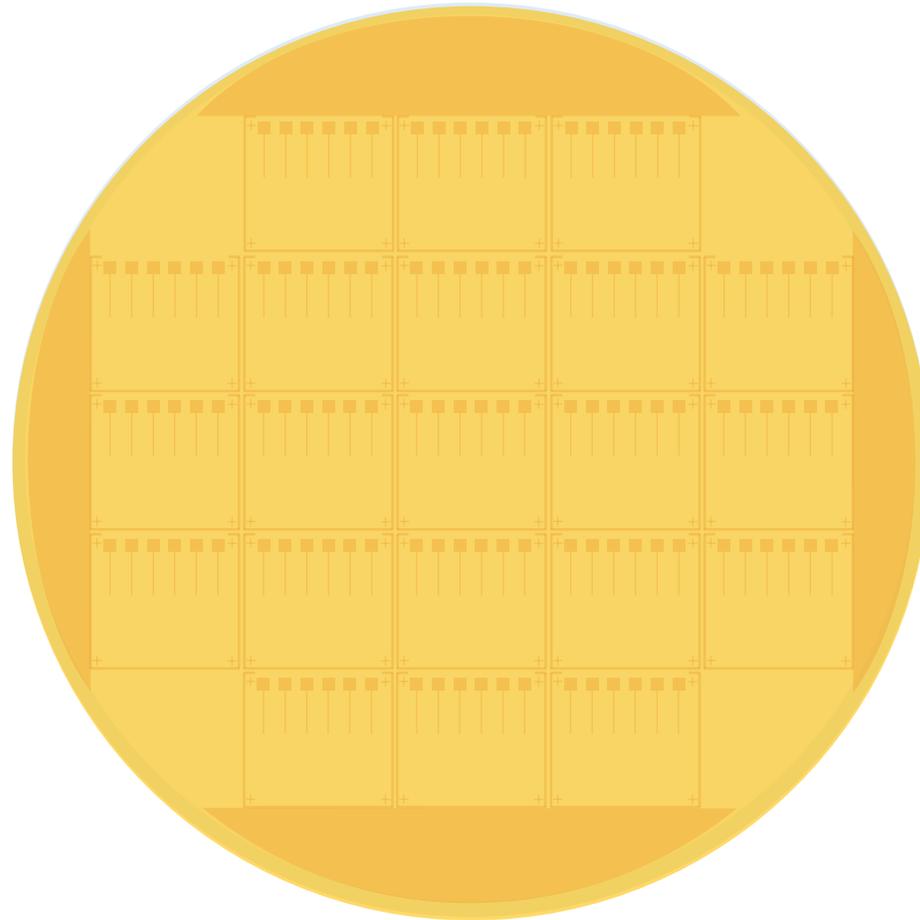
TOP VIEW

2) Patterned template stripping: Method

4. Deposit thin adhesion layer of Cr (10 nm) / Au (10 nm)



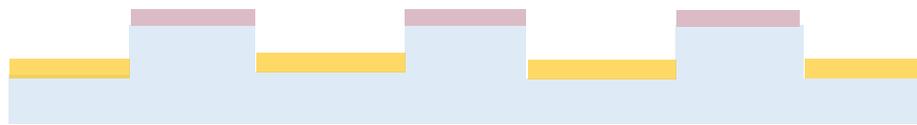
CROSS-SECTIONAL VIEW



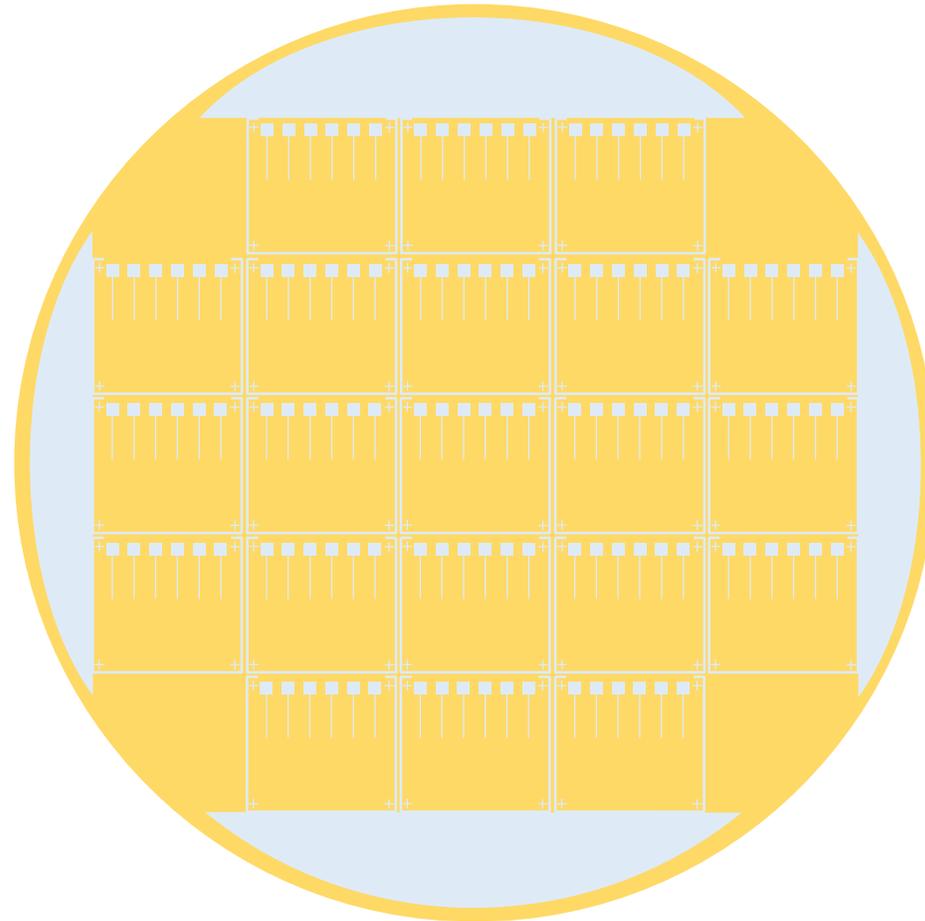
TOP VIEW

2) Patterned template stripping: Method

**5. Lift-off to remove metal from raised pattern
→ piranha clean**



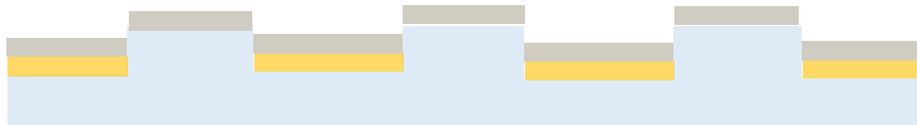
CROSS-SECTIONAL VIEW



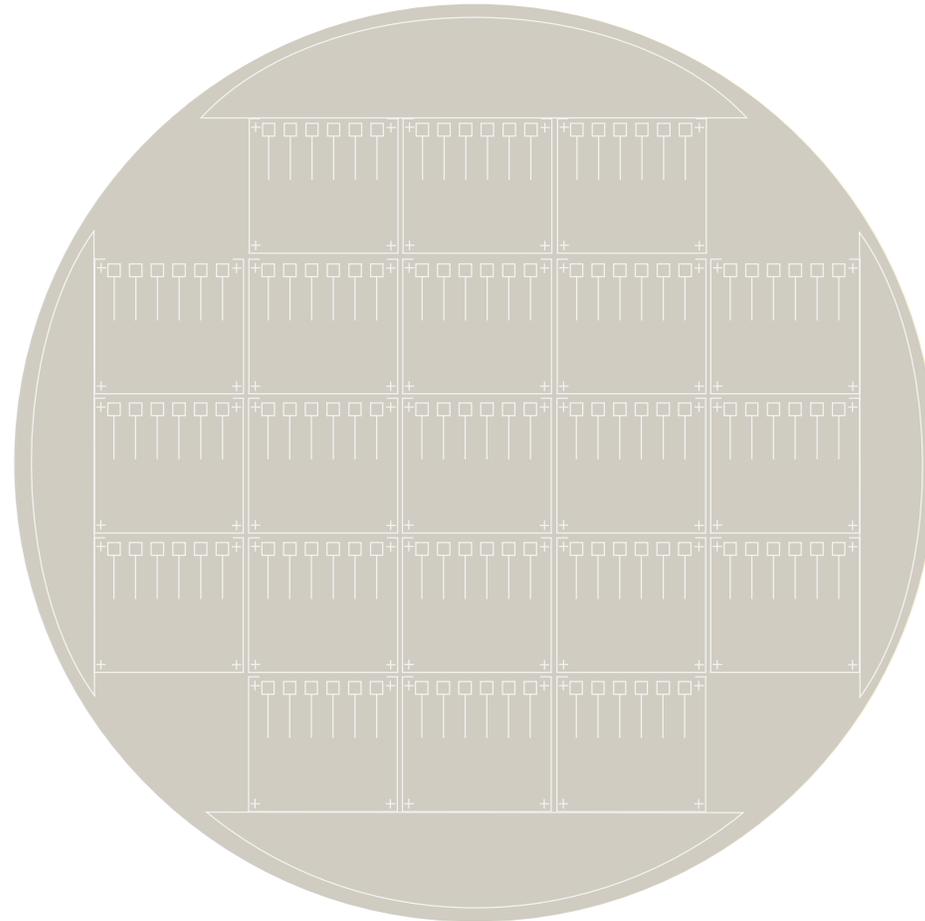
TOP VIEW

2) Patterned template stripping: Method

**6. Deposit Pt (70 nm) /
Ti (8 nm)**



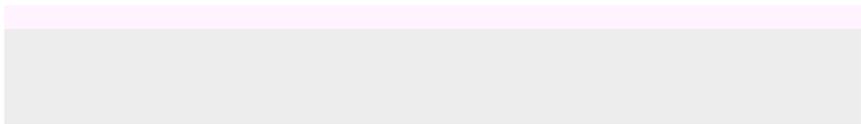
CROSS-SECTIONAL VIEW



TOP VIEW

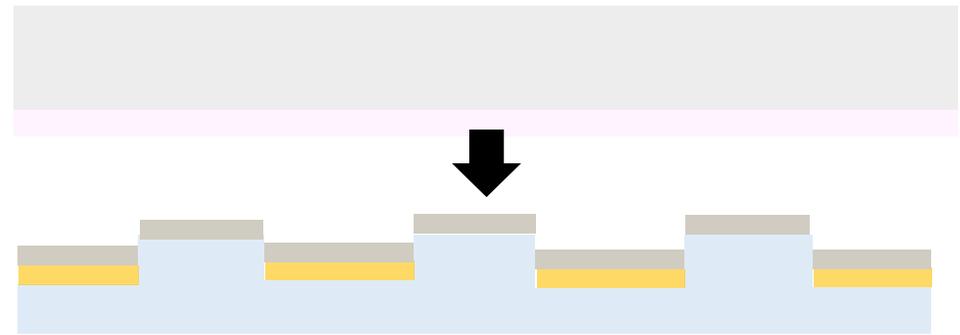
2) Patterned template stripping: Method

7. Spin SOG onto clean glass substrate (2000 rpm, 30 s)



CROSS-SECTIONAL VIEW

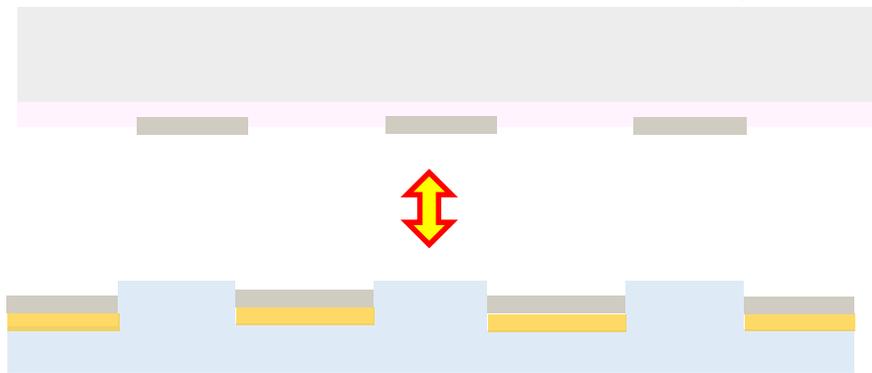
8. Immediately place glass substrate facedown onto template. Apply pressure and cure (120 °C → 200 °C)



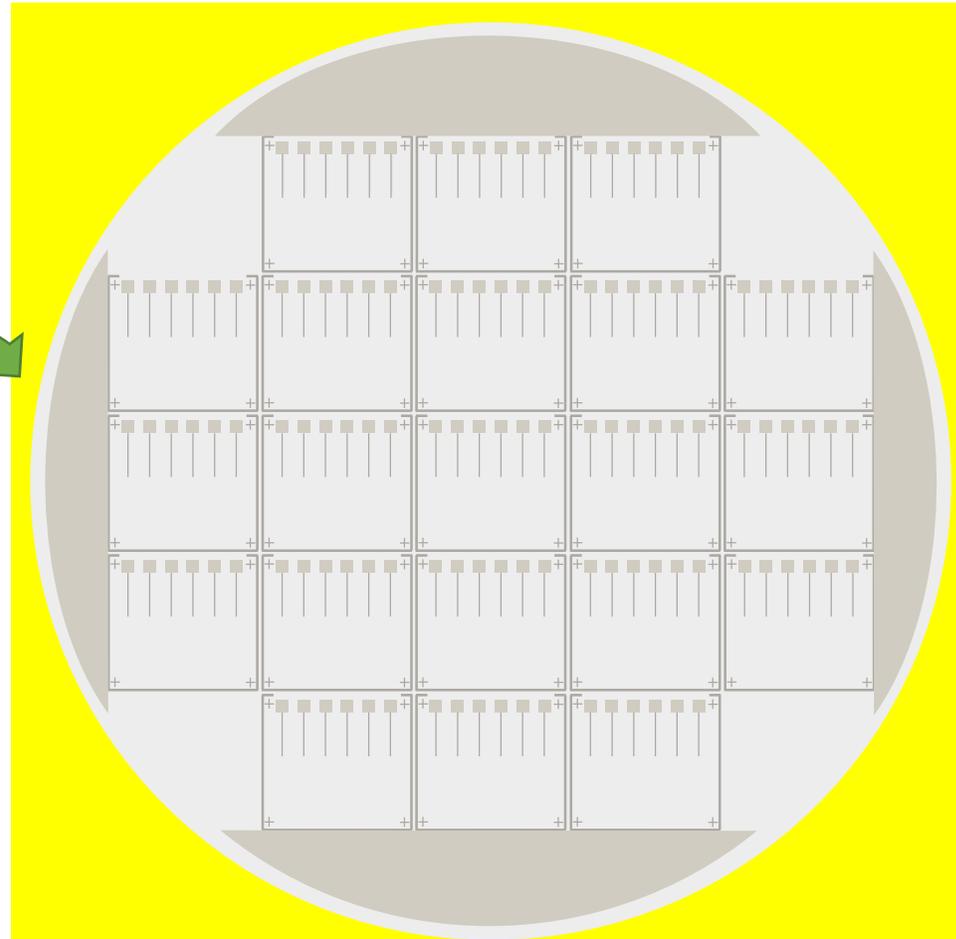
CROSS-SECTIONAL VIEW

2) Patterned template stripping: Method

9. Separate template and substrate using scalpel



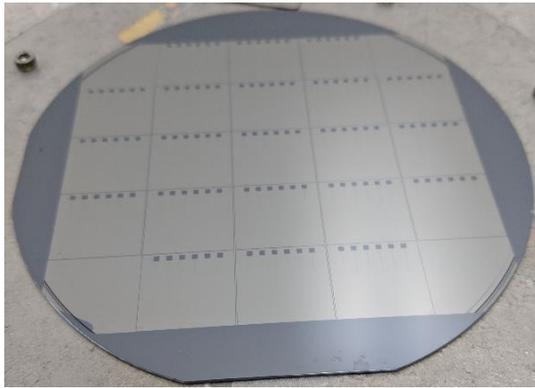
CROSS-SECTIONAL VIEW



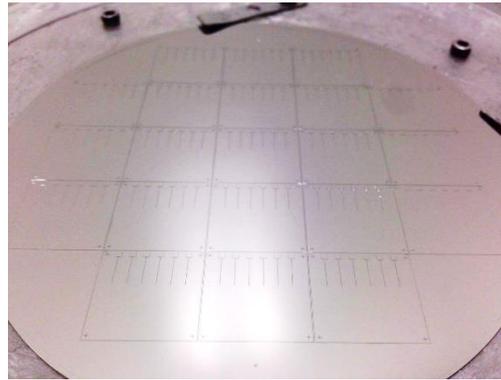
**Substrate with patterned
template stripped film!**

2) Patterned template stripping

Template preparation



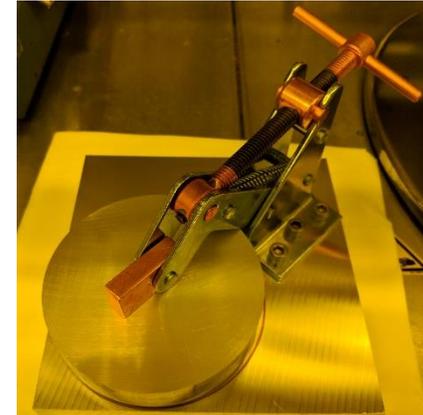
After selective lift-off of adhesion layer



Pt / Ti metallization



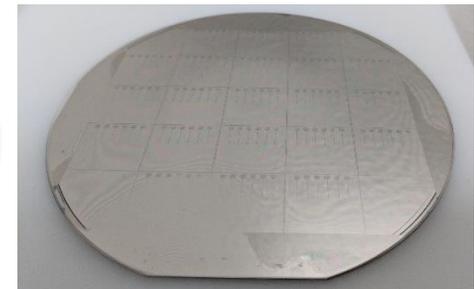
Clamp & Cure



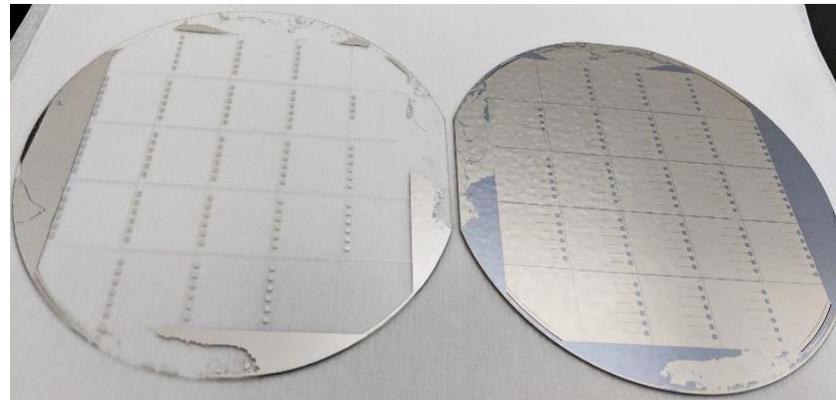
Custom clamp setup



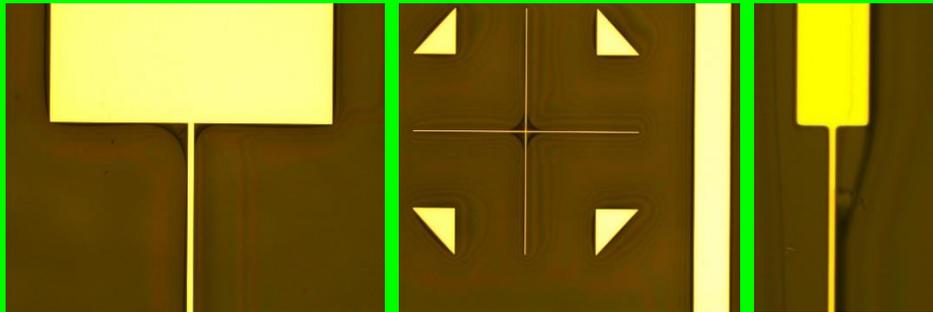
Oven



Wafer stack



Good yield down to 20 μm , some 2 μm features transferred!



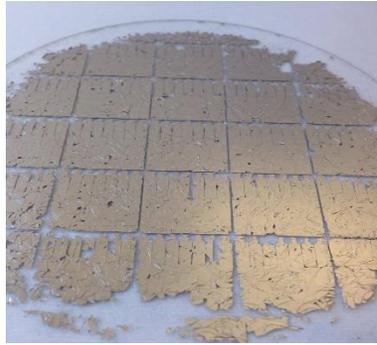
2) Patterned template stripping

Issues encountered

Unsuitable adhesive



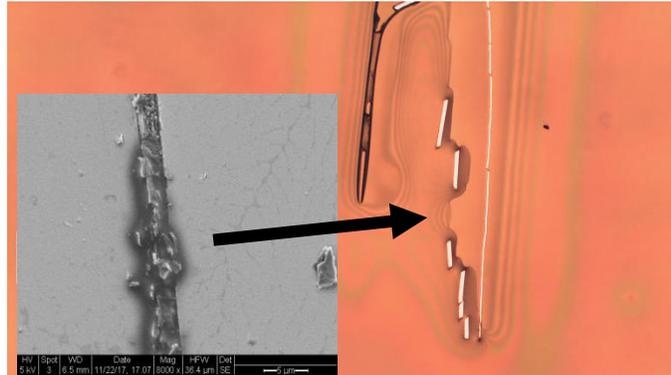
Inverse pattern transfer → solved with adhesion layer



Substrate stuck to template

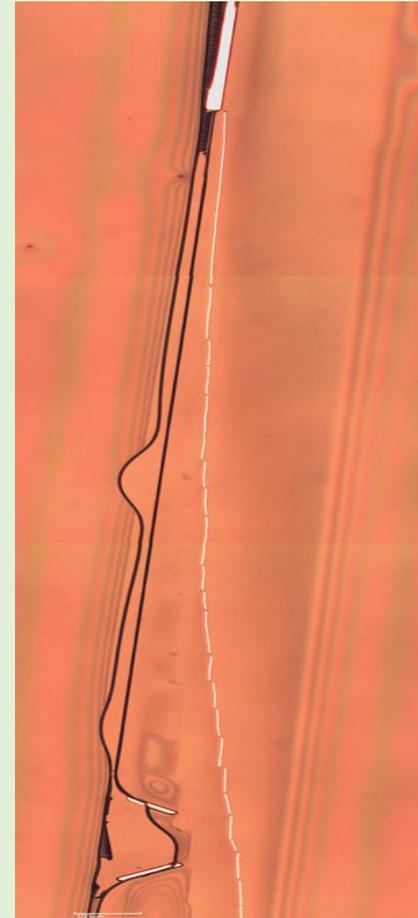


Collapse of fine template structures



Tradeoff between etch depth and mechanical stability

Ongoing issues



Shearing of long thin wires due to **non-uniform pressure** applied by clamp



- Try different clamp setup with better alignment
- Use thicker wires

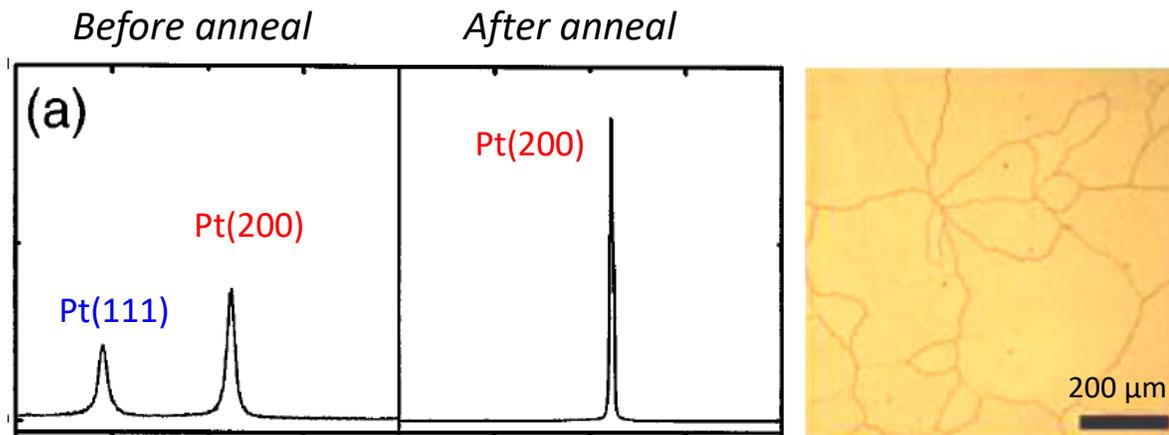
3) Sputter deposition + Annealing

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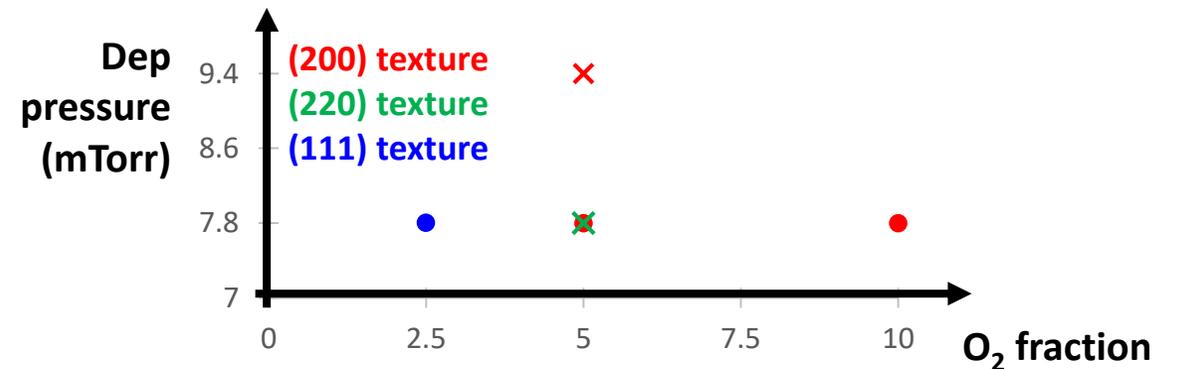
Principle

- Pt films are usually (111)-oriented
- Adding oxygen incorporation during deposition increases strain, causing (200) & (220) planes to become energetically favorable
- Annealing above 750 °C drives **abnormal grain growth (AGG)** to form millimeter-sized grains

From literature:



Preferred orientation of films for various sputter conditions, after 1 h anneal at 1000 °C

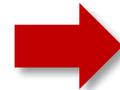


3) Sputter deposition + Annealing

- **Tools used: Lesker, Tylan9 (furnace)**
- Parameters kept constant
 - Substrate (1 x 1 cm quartz sample)
 - DC power
 - Deposition time
 - Anneal temperature + time → no strong effect above certain values

- Parameters varied

- Sputter pressure
- O₂ fraction
- Temperature

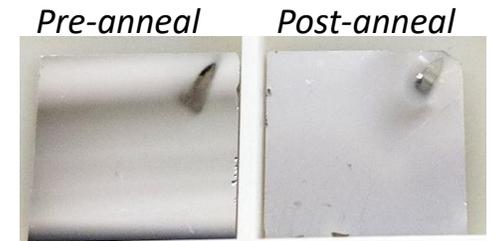


These determine:

- O₂ incorporation
- Film stress
- As-deposited morphology



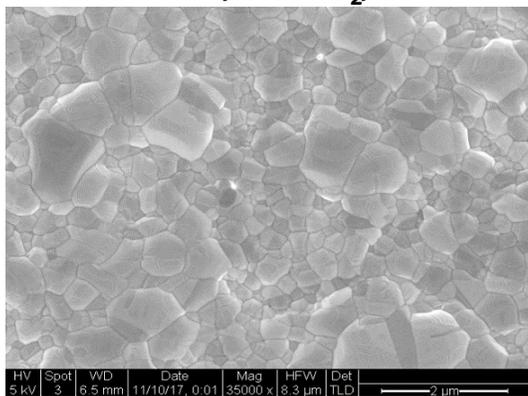
- Parameters reported in literature produced rough films with a matte appearance
- Succeeded in growing large grains (~hundreds of μm) using modified set of parameters



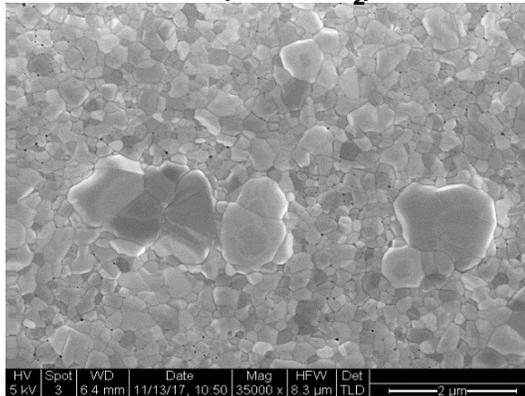
3) Sputter deposition + Annealing

Wide range of microstructures obtained using various parameters:

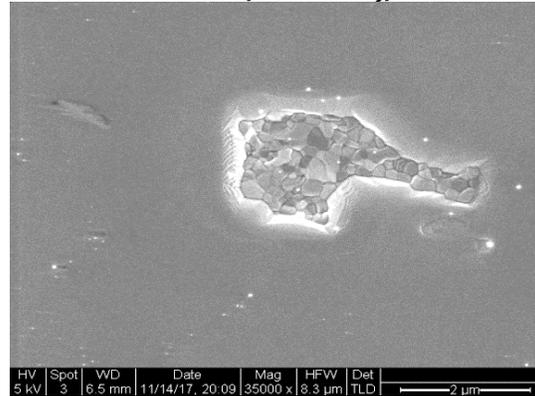
W6: 9.4 mTorr, 9.1% O₂, 50 °C



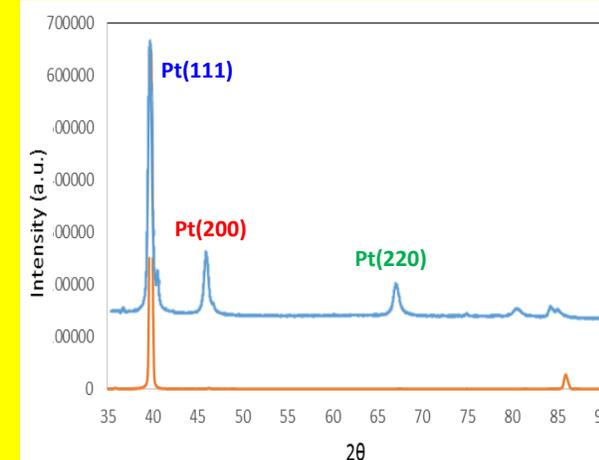
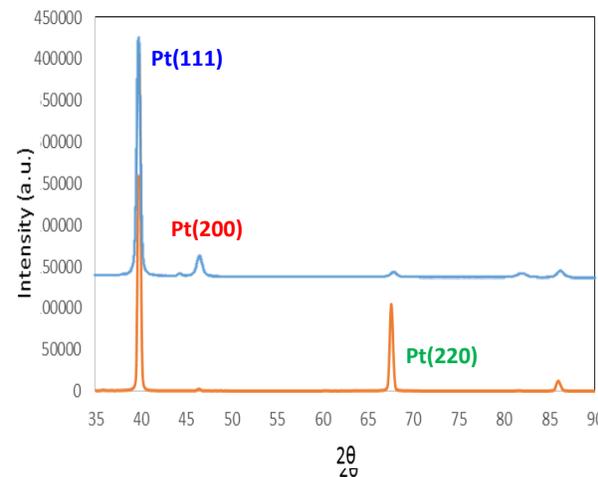
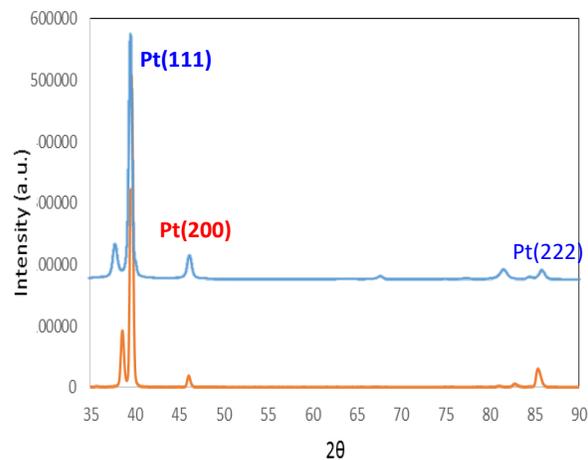
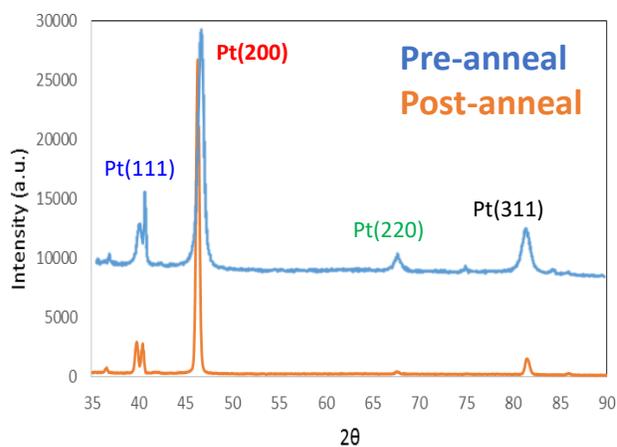
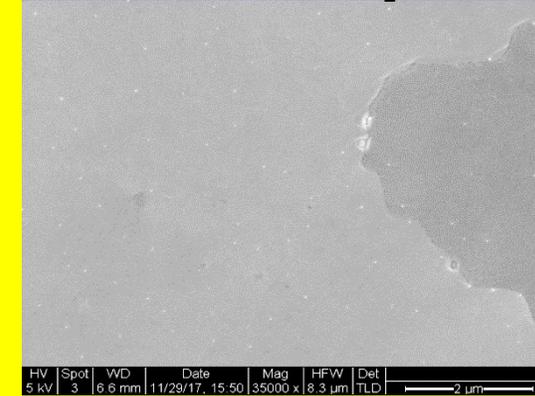
W8: 7.8 mTorr, 9.1% O₂, 30 °C



W9: 7.8 mTorr, 2.5% O₂, 30 °C



W15: 6 mTorr, 10.3% O₂, 30 °C



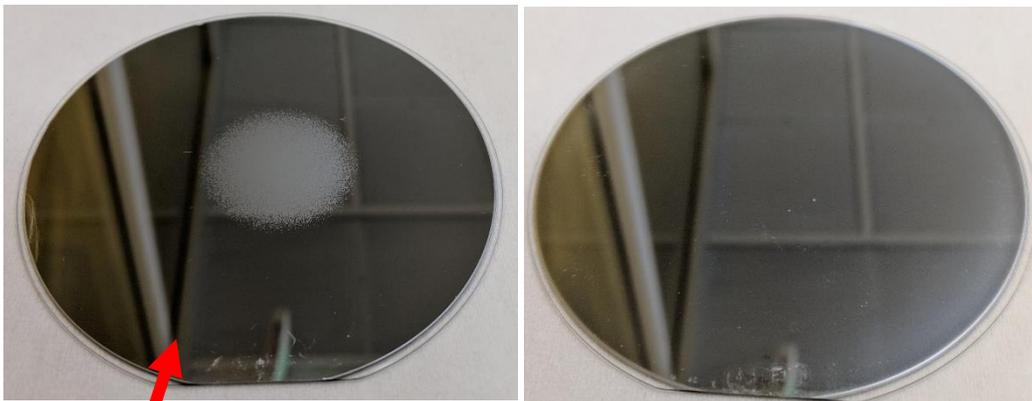
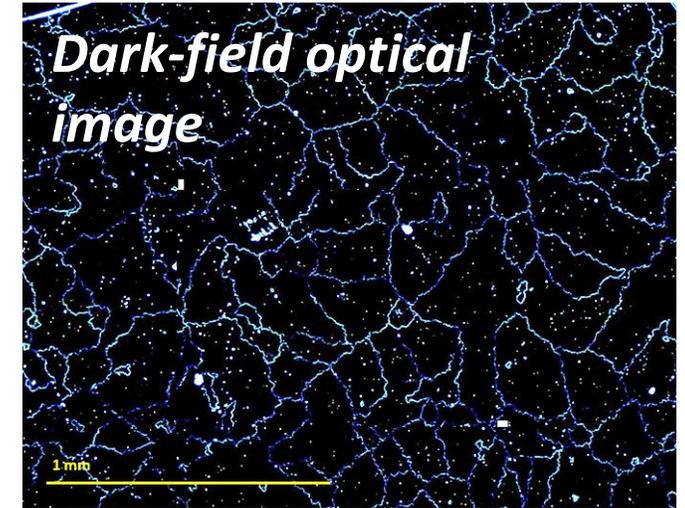
← Increasing (200) texture

Degree of (200) texture seems to increase with O₂ fraction & pressure

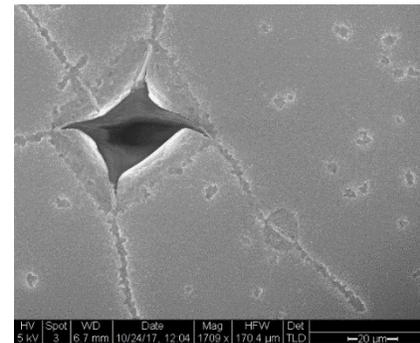
→ Increasing (111) texture

3) Sputter deposition + Annealing

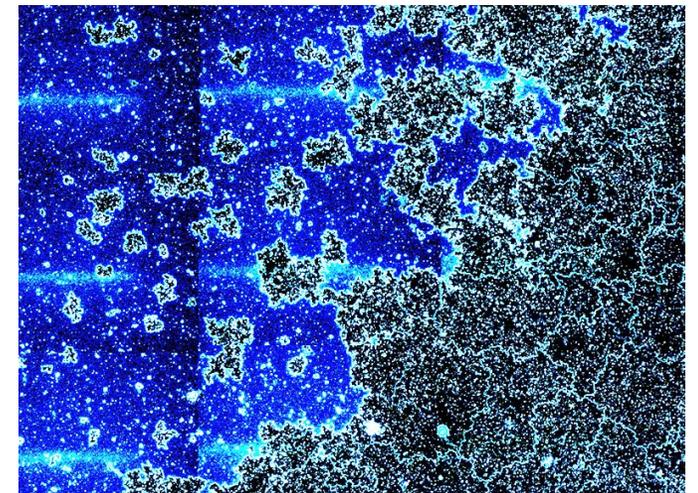
- Continuous large grain growth was observed with (220)- and (111)-oriented grains, *not* (200) as reported
- This suggests that AGG can be induced in all 3 textures, depending on the interplay of various film properties
- AGG seems to be very sensitive to film stress
→ *can lead to non-uniform morphology across a sample*



Parameters which gave large grains on 1x1 cm samples



Larger grains at buckled regions



Summary

Summary of optimal processes (so far) for ultra-smooth platinum

Chemical Mechanical Polishing	Patterned Template stripping	Sputter deposition + annealing
<ul style="list-style-type: none">• <u>Polishing parameters:</u><ul style="list-style-type: none">❖ Polishing head pressure: 150 g/cm²❖ Polishing pad pressure: 250 g/cm²❖ Pad rotation: 50 rpm❖ Head rotation: 30 rpm❖ Polishing time: < 2 min• <u>Slurry:</u><ol style="list-style-type: none">1. Dissolve periodic acid in DI water to a concentration of 10 mM2. Add 2 parts DI water (with periodic acid added) to 1 part slurry, as obtained from SNF. Either Ultra-Sol S10 or 2EX is fine.	<ul style="list-style-type: none">• Pattern template wafer (Si prime), with desired pattern to be transferred <i>not</i> exposed<ul style="list-style-type: none">• Resolution down to 20 μm comfortable; for smaller features depends on aspect ratio of feature (sensitivity to shearing)• DRIE etch (~ 8 μm deep)• Deposit 10 nm Cr / 8 nm Au• Overnight liftoff in acetone, <i>gentle</i> sonication if needed, IPA clean• Piranha clean (5 min, 3:1 piranha)• Deposit 70 – 100 nm Pt / 8 nm Ti. If thick film, maybe allow break for film to cool to avoid delamination• Plasma clean of glass wafer• Spin SOG 400F @ 2000 rpm, 30 s• Place glass wafer facedown onto template, clamp (not too hard!!)• Cure for 1h @ 120 °C, 1h @ 200 °C• Allow wafer stack to cool; separate with scalpel	<ul style="list-style-type: none">• Quartz wafer• Plasma clean in Plasm-Etcher (next to Lesker) for ~ 3 min• <u>Deposition conditions:</u><ul style="list-style-type: none">❖ KJ Lesker❖ Adhesion layer: Ti @ 200 W, 3 mTorr, 50 sccm Ar / 5 sccm O₂, 5 min❖ Film: Pt @ 170 W, 6 mTorr, 50 sccm Ar / 6.2 O₂ (11%), room temperature, 30 min• <u>Anneal conditions:</u><ul style="list-style-type: none">❖ Tylan9, recipe M1000❖ 1000 °C for 1 h

Acknowledgements

- Mentors: Michelle, Karl, Don
- SNF: Maurice, Carsen, Mike, Swaroop, Usha, Mahnaz, Mary
- SNSF: Tom Carver, Shiva Bhaskaran
- Peter Schindler
- Prof Srinivasa Raghavan
- Prof. Fan and Andrew
- Prof. Howe